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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GERALD M. SWEITZER, ET AL.

Attorney Docket No.: 6933

For: WATERBORNE COATING COMPOSITIONS

) Examiner:

)

) Group Art Unit: 1762

)

) Serial No. 09/998,997

)

) Filing Date: November 30, 2001

Commissioner of Patents  
and Trademarks

P. O. Box 2327  
Arlington, VA 22202

Attn: Customer Service Center  
Initial Patent Examination Division

**TRANSMITTAL LETTER**

Dear Sir:

In response to your NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION Filed Under 37 CFR 1.53(b) Filing Date Granted dated December 18, 2001 (copy attached), enclosed herewith please find the executed Combined Declaration and Power of Attorney for Application Serial No. 09/998,997, filed on November 30, 2001.

Also, please note the change made on the attached Filing Receipt. Applicant, John J. Patka SR. should be John J. Patka Sr.

Please charge the one hundred thirty dollar (\$130.00) fee for filing the Combined Declaration and Power of Attorney to Applicants' Deposit Account No. 19-2025. Please charge any additional fees or credit any overpayments to the same Deposit Account. A duplicate of this letter is enclosed.

Respectfully submitted,

GERALD M. SWEITZER, ET AL.

Vivien Y. Tsang  
Attorney for Applicants  
Reg. No. 40,209

February 8, 2002  
The Sherwin-Williams Company  
11 Midland Bldg. - Legal Dept.  
101 Prospect Avenue, N.W.  
Cleveland, Ohio 44115  
phone: (216) 566-2487


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 FEB 08 2002  
 UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/998,997	11/30/2001	1762	1214	6933		37	5

The Sherwin-Williams Company  
 11 Midland Bldg. - Legal Dept.  
 101 Prospect Avenue, N.W.  
 Cleveland, OH 44115

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CONFIRMATION NO. 7352

## FILING RECEIPT



\*OC000000007210247\*

Date Mailed: 12/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gerald M. Sweitzer, Residence Not Provided;  
 Brian A. Mullen, Residence Not Provided;  
 John J. Patka SR., Residence Not Provided; *John J. Patka Sr.*  
 Daniel P. Nemunaitis, Residence Not Provided;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/250,708 12/01/2000

## Foreign Applications

If Required, Foreign Filing License Granted 12/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Waterborne coating compositions

Preliminary Class

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998.997	11/30/2001	Gerald M. Sweitzer	6933



Date Mailed: 12/18/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

***A copy of this notice MUST be returned with the reply.***

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/14/2008 00000001 00000003 192025 09550957  
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**CERTIFICATE OF MAILING BY EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Gerald M. Sweitzer

Docket No.

6933

Serial No.

09/998,997

Filing Date

11/30/2001

Examiner

Group Art Unit

Invention:

**WATERBORNE COATING COMPOSITIONS**

I hereby certify that this copy of Notice To File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) Filing Date Granted, an executed (Identify type of correspondence) Combined Declaration and Power of Attorney, a Transmittal Letter (in duplicate), and correction to the Filing \* is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

\* Receipt

37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

P.O. Box 2327

Arlington, VA 22202

-20234-0004 on February 8, 2002

(Date)

Attn: Customer Service Center  
Initial Patent Examination Div.

Laurie M. Feltes

(Typed or Printed Name of Person Mailing Correspondence)

Laurie M. Feltes

(Signature of Person Mailing Correspondence)

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